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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney. Docket No. 039322-0226

Applicants: James P. HOFFLER *et al.*

Title: SINGLE CHAIN MONOCLONAL ANTIBODY FUSION
REAGENTS THAT REGULATE TRANSCRIPTION *IN VIVO*

Application No.: 09/939,769

Filing Date: August 28, 2001

Examiner: Susan Unger

Art Unit: 1642

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AMENDMENT TRANSMITTAL

Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a Small Entity statement previously submitted.
- ☐ Small Entity statement is enclosed.
- ☒ The fee required for additional claims is calculated below:

	Claims as Amended		Previously Paid For		Extra Claims Present		Rate		Additional Claims Fee
Total Claims:	51	—	23	=	28	X	\$18.00	=	\$504.00
Independents:	7	—	7	=	0	X	\$84.00	=	\$0.00
First presentation of any Multiple Dependent Claims:						+	\$280.00	=	\$0.00
CLAIMS FEE TOTAL:									= \$504.00

- ☐ Applicant hereby petitions for an extension of time under 37 C.F.R. § 1.136(a) for the total number of months checked below:

Serial No.: 09/939,769

Attorney Docket No.: 039322-0226

<input type="checkbox"/>	Extension for response filed within the first month:	\$110.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the second month:	\$400.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the third month:	\$920.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fourth month:	\$1,440.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fifth month:	\$1,960.00	\$0.00
EXTENSION FEE TOTAL:			\$0.00
CLAIMS AND EXTENSION FEE TOTAL:			\$504.00
<input checked="" type="checkbox"/>	Small Entity Fees Apply (subtract ½ of above):		\$252.00
TOTAL FEE:			\$252.00

- ☐ Please charge Deposit Account No. 19-0741 in the amount of \$252.00. A duplicate copy of this transmittal is enclosed.
- ☒ A check in the amount of \$252.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 25 June 2002

By Stephen A. Bent

FOLEY & LARDNER
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

Telephone: (202) 672-5404
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Stephen A. Bent
Attorney for Applicant
Registration No. 29,768

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney. Docket No. 039322-0226

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SUPPLEMENTAL PRELIMINARY AMENDMENT

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

07/03/2002 RHARRON 00000011 09939769

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Prior to examination of this application, Applicants respectfully request that the Office enter the following amendments.

IN THE CLAIMS

Please cancel claims 8 and 23, without prejudice or disclaimer. In accordance with 37 C.F.R. §1.121, please substitute claims 1-23 with the following, rewritten version of the same claims, as amended. The changes are shown explicitly in the attached "Version with Markings to Show Changes Made."

1. (Amended) A method of screening a DNA construct library for a single chain monoclonal antibody fusion reagent capable of binding a transcription associated biomolecule within a host cell, comprising:

(a) cloning a first nucleic acid fragment that codes for a DNA-binding domain peptide of a transcription activator into a first expression vector to yield a construct